

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UV/208SERIAL NO.
09/974,529INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SAC	Re. 35,954	11/10/98	Michael R. Levine			
	4,355,415	10/19/82	George, et al.			
	4,488,179	12/11/84	Krüger, et al.			
	4,602,279	07/22/86	Freeman			
	4,694,490	09/14/87	Harvey, et al.			
	4,704,725	11/03/87	Harvey, et al.			
	4,706,121	11/10/87	Young			
	4,718,107	01/05/88	Hayes			
	4,745,549	05/17/88	Hashimoto			
	4,751,578	06/14/88	Reiter, et al.			
	4,787,063	11/22/88	Muguet			
	4,847,698	07/11/89	Freeman			
	4,857,999	08/15/89	Welsh			
	4,908,707	03/13/90	Kinghorn			
	4,930,158	05/29/90	Vogel			
	4,959,720	09/25/90	Duffield, et al.			
	4,963,994	10/16/90	Levine			
	4,965,825	10/23/90	Harvey, et al.			
	4,977,455	12/11/90	Young			
	5,027,400	06/25/91	Baji, et al.			
	5,047,867	09/10/91	Strubbe, et al.			
	5,109,279	04/28/92	Ando			
	5,109,414	04/28/92	Harvey, et al.			
	5,134,719	07/28/92	Mankovitz			
	5,151,789	09/29/92	Young			
	5,155,591	10/13/92	Wachob			
SAC	5,172,413	12/15/92	Bradley, et al.			

EXAMINER

DATE CONSIDERED

11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UVI/208SERIAL NO.
09/974,529INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171

U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SAC	5,200,822	04/06/93	Bronfin, et al.			
	5,223,924	06/29/93	Strubbe			
	5,231,493	07/27/93	Apitz			
	5,233,654	08/03/93	Harvey, et al.			
	5,249,043	09/28/93	Grandmougin			
	5,253,066	10/12/93	Vogel			
	5,299,006	03/29/94	Kim			
	5,335,277	08/02/94	Harvey, et al.			
	5,339,434	08/16/94	Rusis			
	5,341,350	08/23/94	Frank, et al.			
	5,353,121	10/04/94	Young, et al.			
	5,357,276	10/18/94	Banker, et al.			
	5,404,567	04/04/95	DePietro, et al.			
	5,410,344	04/25/95	Graves, et al.			
	5,426,699	06/20/95	Wunderlich, et al.			
	5,442,389	08/15/95	Blahut, et al.			
	5,461,415	10/24/95	Wolf, et al.			
	5,465,385	11/07/95	Ohga, et al.			
	5,483,278	01/09/96	Strubbe, et al.			
	5,485,197	01/16/96	Hoarty			
	5,502,504	03/26/96	Marshall, et al.			
	5,517,257	05/14/96	Dunn, et al.			
	5,523,794	06/04/96	Mankovitz, et al.			
	5,523,796	06/04/96	Marshall, et al.			
	5,524,195	06/04/96	Clanton, III et al.			
	5,524,271	06/04/96	Hollmann, et al.			
SAC	5,534,911	07/09/96	Levitan			

EXAMINER

DATE CONSIDERED

11/15/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UV/208SERIAL NO.
09/974,529INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171

U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SAC	5,537,141	07/16/96	Harper, et al.			
	5,539,449	07/23/96	Blahut, et al.			
	5,539,880	07/23/96	Lakhani			
	5,541,638	07/30/96	Story			
	5,541,738	07/30/96	Mankovitz			
	5,548,338	08/20/96	Ellis, et al.			
	5,550,576	08/27/96	Klosterman			
	5,550,825	08/27/96	McMullan, Jr., et al.			
	5,557,338	09/17/96	Maze, et al.			
	5,559,548	09/24/96	Davis, et al.			
	5,559,549	09/24/96	Hendricks, et al.			
	5,574,778	11/12/96	Ely, et al.			
	5,576,755	11/19/96	Davis, et al.			
	5,583,561	12/10/96	Baker, et al.			
	5,583,563	12/10/96	Wanderscheid, et al.			
	5,585,838	12/17/96	Lawler, et al.			
	5,585,858	12/17/96	Harper, et al.			
	5,589,892	12/31/96	Knee, et al.			
	5,592,551	01/07/97	Lett, et al.			
	5,594,509	01/14/97	Florin, et al.			
	5,594,779	01/14/97	Goodman			
	5,600,364	02/04/97	Hendricks, et al.			
	5,600,573	02/04/97	Hendricks, et al.			
	5,602,582	02/11/97	Wanderscheid, et al.			
	5,606,642	02/25/97	Stautner, et al.			
	5,616,876	04/01/97	Cluts			
SAC	5,619,247	04/08/97	Russo			

EXAMINER

DATE CONSIDERED

11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UV/208SERIAL NO.
09/974,529APPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SAC	5,619,249	04/08/97	Billock, et al.			
	5,619,274	04/08/97	Roop, et al.			
	5,623,613	04/22/97	Rowe, et al.			
	5,625,678	04/29/97	Blomfield-Brown			
	5,629,733	05/13/97	Youman, et al.			
	5,629,867	05/13/97	Goldman			
	5,630,119	05/13/97	Aristides, et al.			
	5,632,007	05/20/97	Freeman			
	5,635,978	06/03/97	Alten, et al.			
	5,635,987	06/03/97	Park, et al.			
	5,648,824	07/15/97	Dunn, et al.			
	5,652,613	07/29/97	Lazarus, et al.			
	5,654,748	08/05/97	Matthews, III			
	5,654,886	08/05/97	Zereski, Jr. et al.			
	5,657,072	08/12/97	Aristides, et al.			
	5,659,350	08/19/97	Hendricks, et al.			
	5,666,645	09/09/97	Thomas, et al.			
	5,675,743	10/07/97	Mavity			
	5,682,195	10/28/97	Hendricks, et al.			
	5,694,163	12/02/97	Harrison			
	5,696,765	12/09/97	Safadi			
	5,699,107	12/16/97	Lawler, et al.			
	5,708,961	01/13/98	Hylton, et al.			
	5,710,601	01/20/98	Marshall, et al.			
	5,717,452	02/10/98	Janin, et al.			
	5,721,829	02/24/98	Dunn, et al.			
JAC	5,727,060	03/10/98	Young			

RECEIVED

AUG 14 2002


Technology Center 2600

EXAMINER

DATE CONSIDERED

11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. UV/208	SERIAL NO. 09/974,529
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT William L. Thomas et al.	CONFIRMATION NO. 9814
		FILING DATE October 9, 2001	GROUP 2171

U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SAC	5,732,216	03/24/98	Logan, et al.			
	5,734,119	03/31/98	France, et al.			
	5,734,853	03/31/98	Hendricks, et al.			
	5,742,443	04/21/98	Tsao, et al.			
	5,745,710	04/28/98	Clanton, III, et al.			
	5,751,282	05/12/98	Girard, et al.			
	5,752,159	05/12/98	Faust, et al.			
	5,752,160	05/12/98	Dunn			
	5,754,771	05/19/98	Epperson, et al.			
	5,758,257	05/26/98	Herz, et al.			
	5,758,258	05/26/98	Shoff, et al.			
	5,758,259	05/26/98	Lawler			
	5,760,821	06/02/98	Ellis, et al.			
	5,768,528	06/16/98	Stumm			
	5,774,170	06/30/98	Hite, et al.			
	5,778,182	07/07/98	Cathey, et al.			
	5,778,187	07/07/98	Monteiro, et al.			
	5,781,226	07/14/98	Sheehan			
	5,781,227	07/14/98	Goode, et al.			
	5,781,246	07/14/98	Alten, et al.			
	5,790,198	08/04/98	Roop, et al.			
	5,790,423	08/04/98	Lau, et al.			
	5,793,412	08/11/98	Asamizuya			
	5,793,971	08/11/98	Fujita, et al.			
	5,794,217	08/11/98	Allen			
	5,796,952	08/18/98	Davis, et al.			
SAC	5,802,284	09/01/98	Karlton, et al.			

EXAMINER

DATE CONSIDERED

11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UV/208SERIAL NO.
09/974,529APPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SAC	5,805,154	09/08/98	Brown			
	5,805,763	09/08/98	Lawler, et al.			
	5,805,804	09/08/98	Laursen, et al.			
	5,805,806	09/08/98	McArthur			
	5,808,608	09/15/98	Young, et al.			
	5,808,694	09/15/98	Usui, et al.			
	5,809,246	09/15/98	Goldman			
	5,812,123	09/22/98	Rowe, et al.			
	5,812,205	09/22/98	Milnes, et al.			
	5,815,146	09/29/98	Youden, et al.			
	5,818,438	10/06/98	Howe, et al.			
	5,819,019	10/06/98	Nelson			
	5,819,160	10/06/98	Foladare, et al.			
	5,822,530	10/13/98	Brown			
	5,830,068	11/03/98	Brenner, et al.			
	5,838,314	11/17/98	Neel, et al.			
	5,841,979	11/24/98	Schulhof, et al.			
	5,844,620	12/01/98	Coleman, et al.			
	5,850,218	12/15/98	LaJoie, et al.			
	5,861,906	01/19/99	Dunn, et al.			
	5,881,245	03/16/99	Thompson			
	5,884,028	03/16/99	Kindell			
	5,886,707	03/23/99	Berg			
	5,886,732	03/23/99	Humpleman			
	5,887,243	03/23/99	Harvey, et al.			
	5,892,915	04/06/99	Duso, et al.			
SAC	5,894,589	04/13/99	Reber, et al.			

RECEIVED

AUG 14 2002

Technology Center 2600

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UVI/208SERIAL NO.
09/974,529APPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SAC	5,896,414	04/20/99	Meyer, et al.			
	5,898,441	04/27/99	Flurry			
	5,898,456	04/27/99	Wahl			
	5,899,582	05/04/99	DuLac			
	5,900,904	05/04/99	Okada, et al.			
	5,903,234	05/11/99	Kimura, et al.			
	5,903,263	05/11/99	Emura			
	5,903,264	05/11/99	Moeller, et al.			
	5,905,522	05/18/99	Lawler			
	5,905,847	05/18/99	Kobayashi, et al.			
	5,909,638	06/01/99	Allen			
	5,911,046	06/08/99	Amano			
	5,913,039	06/15/99	Nakamura, et al.			
	5,914,941	06/22/99	Janky			
	5,915,090	06/22/99	Joseph, et al.			
	5,915,094	06/22/99	Kouloheris			
	5,916,303	06/29/99	Scott			
	5,917,538	06/29/99	Asamizuya			
	5,917,835	06/29/99	Barrett, et al.			
	5,920,702	07/06/99	Bleidt, et al.			
	5,920,800	07/06/99	Schafer			
	5,922,045	07/13/99	Hanson			
	5,922,048	07/13/99	Emura			
	5,923,361	07/13/99	Sutton, Jr.			
	5,926,204	07/20/99	Mayer			
	5,926,205	07/20/99	Krause, et al.			
SAC	5,926,624	07/20/99	Katz, et al.			

EXAMINER

DATE CONSIDERED

11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UVI/208SERIAL NO.
09/974,529APPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SAC	5,928,327	07/27/99	Wang, et al.			
	5,929,850	07/27/99	Broadwin, et al.			
	5,930,473	07/27/99	Teng, et al.			
	5,930,493	07/27/99	Ottesen, et al.			
	5,931,901	08/03/99	Wolfe, et al.			
	5,933,603	08/03/99	Vahalia, et al.			
	5,933,835	08/03/99	Adams, et al.			
	5,935,206	08/10/99	Dixon, et al.			
	5,936,569	08/10/99	Stanghle, et al.			
	5,940,071	08/17/99	Treffers, et al.			
	5,940,073	08/17/99	Klosterman			
	5,943,046	08/24/99	Cave, et al.			
	5,943,047	08/24/99	Suzuki			
	5,945,987	08/31/99	Dunn			
	5,947,746	09/07/99	Tsai			
	5,949,411	09/07/99	Doerr, et al.			
	5,956,482	09/21/99	Agraharam, et al.			
	5,959,659	09/28/99	Dokic			
	5,963,202	10/05/99	Polish			
	5,964,455	10/12/99	Catanzarite, et al.			
	5,969,714	10/19/99	Butcher			
	5,973,680	10/26/99	Ueda			
	5,973,722	10/26/99	Wakai, et al.			
	5,974,217	10/26/99	Haraguchi			
	5,977,963	11/02/99	Gaughan, et al.			
✓	5,978,567	11/02/99	Rebane, et al.			
SAC	5,978,843	11/02/99	Wu, et al.			

EXAMINER

DATE CONSIDERED

11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UVI/208SERIAL NO.
09/974,529APPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SAC	5,986,650	11/16/99	Ellis, et al.			
	5,990,881	11/23/99	Inoue, et al.			
	5,999,970	12/07/99	Krisbergh, et al.			
	6,002,394	12/14/99	Schein, et al.			
	6,002,720	12/14/99	Yurt, et al.			
	6,005,564	12/21/99	Ahmad, et al.			
	6,005,600	12/21/99	Hill			
	6,009,465	12/28/99	Decker, et al.			
	6,012,089	01/04/00	Hasegawa			
	6,012,091	01/04/00	Boyce			
	6,014,381	01/11/00	Troxel, et al.			
	6,014,693	01/11/00	Ito, et al.			
	6,014,694	01/11/00	Aharoni, et al.			
	6,014,706	01/11/00	Cannon, et al.			
	6,018,359	01/25/00	Kernode, et al.			
	6,018,765	01/25/00	Durana, et al.			
	6,020,912	02/01/00	De Lang			
	6,022,223	02/08/00	Taniguchi, et al.			
	6,023,725	02/08/00	Ozawa, et al.			
	6,025,837	02/15/00	Matthews, et al.			
	6,025,868	02/15/00	Russo			
	6,028,600	02/22/00	Rosin, et al.			
	6,029,064	02/22/00	Farris, et al.			
	6,038,591	03/14/00	Wolfe, et al.			
	6,052,145	04/18/00	Macrae, et al.			
	6,141,488	10/31/00	Knudson, et al.			
SAC	6,160,546	12/12/00	Thompson, et al.			

RECEIVED

AUG 14 2002

Technology Center 2600

EXAMINER

DATE CONSIDERED

11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UV/208SERIAL NO.
09/974,529INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171

U.S. PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SAC	6,208,335	03/27/01	Gordon, et al.			
SAC	6,209,129	03/27/01	Carr, et al.			
SAC	6,314,575	11/06/01	Billock, et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
SAC	60-61935	09/04/85	Japan				
	WO 88/04507	06/16/88	PCT				
	WO 89/12370	12/14/89	PCT				
	WO 90/00847	01/25/90	PCT				
	WO 91/00670	01/10/91	PCT				
	EP 0 424 469 B1	05/02/91	EPO				
	WO 91/07050	05/16/91	PCT				
	WO 92/04801	03/19/92	PCT				
	2 256 115	11/25/92	Great Britain				
	EP 0 572 090 A	02/01/93	EPO				
	EP 0 535 749 A2	04/07/93	EPO				
	WO 93/08542	04/29/93	PCT				
	WO 93/22877	11/11/93	PCT				
	WO 94/14284	06/23/94	PCT				
	Pub. No. 247388	10/15/94	R.O.C.				
	EP 0 624 039 A2	11/09/94	EPO				
	WO 95/01058	01/05/95	PCT				
SAC	WO 95/15658	06/08/95	PCT				

EXAMINER

DATE CONSIDERED

11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UV/208SERIAL NO.
09/974,529APPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

FOREIGN PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
SAC	EP 0 662 771 A	07/12/95	EPO				
	EP 0 682 452 A2	11/15/95	EPO				
	WO 95/31069	11/16/95	PCT				
	WO 95/32583	11/30/95	PCT				
	WO 95/32584	11/30/95	PCT				
	WO 95/32585	11/30/95	PCT				
	WO 95/32587	11/30/95	PCT				
	WO 96/09721	03/28/96	PCT				
	EP 0 711 076 A	05/08/96	EPO				
	WO 96/17467	06/06/96	PCT				
	EP 0 725 539 A2	08/07/96	EPO				
	WO 96/25821	08/22/96	PCT				
	WO 96/33572	10/24/96	PCT				
	WO 96/34491	10/31/96	PCT				
	WO 96/34467	10/31/96	PCT				
	WO 96/41472	12/19/96	PCT				
	WO 96/41478	12/19/96	PCT				
	EP 0 758 833	02/19/97	EPO				
	EP 0 763 938 A2	03/19/97	EPO				
	WO 97/13368	04/10/97	PCT				
	WO 97/19555	05/29/97	PCT				
	WO 97/21291	06/12/97	PCT				
	WO 97/29458	08/14/97	PCT				
	WO 97/32434	09/04/97	PCT				
	WO 97/34413	09/18/97	PCT				
SAC	WO 97/34414	09/18/97	PCT				

EXAMINER

DATE CONSIDERED

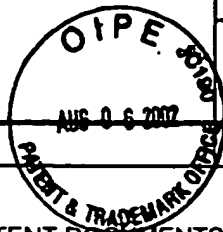
11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

68

Sheet 12 of 15

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UV/208SERIAL NO.
09/974,529INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171

FOREIGN PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
SAC	WO 97/37500	10/09/97	PCT				
	WO 97/42763	11/13/97	PCT				
	WO 97/46016	12/04/97	PCT				
	WO 97/46943	12/11/97	PCT				
	WO 97/47124	12/11/97	PCT				
	WO 97/48228	12/18/97	PCT				
	WO 97/48230	12/18/97	PCT				
	WO 97/49237	12/24/97	PCT				
	WO 97/49242	12/24/97	PCT				
	WO 98/00975	01/08/98	PCT				
	WO 98/00976	01/08/98	PCT				
	WO 98/01995	01/15/98	PCT				
	WO 98/06219	02/12/98	PCT				
	WO 98/07277	02/19/98	PCT				
	WO 98/10589	03/12/98	PCT				
	WO 98/12872	03/26/98	PCT				
	WO 98/17033	04/23/98	PCT				
	WO 98/17064	04/23/98	PCT				
	WO 98/18260	04/30/98	PCT				
	WO 98/19459	05/07/98	PCT				
	WO 98/26528	06/18/98	PCT				
	WO 98/26584	06/18/98	PCT				
	WO 98/26596	06/18/98	PCT				
	WO 98/27723	06/25/98	PCT				
	WO 98/31115	07/16/98	PCT				
	WO 98/31116	07/16/98	PCT				
SAC	EP 0 854 645 A	07/22/98	EPO				

RECEIVED

AUG 14 2002

Technology Center 2600

EXAMINER

DATE CONSIDERED 11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UV/208SERIAL NO.
09/974,529INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171

FOREIGN PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
SAC	WO 98/34405 A1	08/06/98	PCT				
	WO 98/38831	09/03/98	PCT				
	WO 98/39893	09/11/98	PCT				
	WO 98/47279	10/22/98	PCT				
	EP 0 874 524 A1	10/28/98	EPO				
	WO 99/03267	01/21/99	PCT				
	WO 99/04561	01/28/99	PCT				
	WO 99/39466	01/29/99	PCT				
	WO 99/11060	03/04/99	PCT				
	WO 99/12320	03/04/99	PCT				
	WO 99/27681	06/03/99	PCT				
	WO 99/28897	06/10/99	PCT				
	WO 99/30491	06/17/99	PCT				
	EP 0 924 927 A2	06/23/99	EPO				
	EP 0 986 046 A1	08/13/99	EPO				
	WO 99/39466	08/05/99	PCT				
	WO 99/45700	09/10/99	PCT				
	WO 99/45702	09/10/99	PCT				
	EP 0 944 253 A1	09/22/99	EPO				
	WO 99/56466	11/04/99	PCT				
	WO 99/56473	11/04/99	PCT				
	WO 99/60783	11/25/99	PCT				
	WO 99/60789	11/25/99	PCT				
	WO 99/60790	11/25/99	PCT				
	WO 99/65244	12/16/99	PCT				
	WO 99/66725	12/23/99	PCT				
SAC	WO 00/02380	01/13/00	PCT				

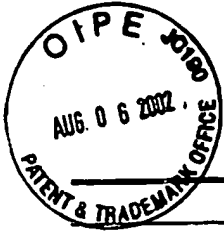
EXAMINER

DATE CONSIDERED

11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UV/208SERIAL NO.
09/974,529INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171

FOREIGN PATENT DOCUMENTS (Continued)

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
SAC	WO 00/04706	01/27/00	PCT				
	WO 00/05885	02/03/00	PCT				
	WO 00/11869	03/02/00	PCT				
	WO 00/16548	03/23/00	PCT				
	WO 00/33560	06/08/00	PCT				
	WO 00/79798	12/28/00	PCT				
	WO 01/01677	01/04/01	PCT				
	WO 01/01689	01/04/01	PCT				
	WO 01/19086	03/15/01	PCT				
	WO 01/35662	05/17/01	PCT				
	WO 01/46869	06/28/01	PCT				
	WO 01/50743	07/12/01	PCT				
	WO 01/58158	08/09/01	PCT				
SAC	WO 01/78382	10/18/01	PCT				

RECEIVED

AUG 14 2002

Technology Center 2600

EXAMINER

DATE CONSIDERED

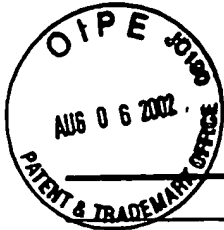
11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

15

Sheet 15 of 15

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
UV/208SERIAL NO.
09/974,529INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANT
William L. Thomas et al.CONFIRMATION
NO. 9814FILING DATE
October 9, 2001GROUP
2171

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
SAC	CableData brochure, "A New Approach To Addressability" (undated).
	"Addressable Converters: A New Development at CableData," Via Cable, Vol. 1, No. 12 (December 1981).
	Hofmann, Neumann, Oberlies, and Schadwinkel, "Videotext Programmiert Videorecorder," Rundfunktechnische Mitteilungen, Nov.-Dec. 1982, pp. 254-57 (translation abstract attached)
	James Sorce et al., "Designing a Broadband Residential Entertainment Service: A Case Study," 13th International Symposium Human Factors in Telecommunications, Torino, Italy, September 10-14, 1990 pp. 141-148.
	Vito Brugliera, "Digital On-Screen Display - A New Technology for the Consumer Interface," Symposium Record Cable Sessions, 18th International Television Symposium and Technical Exhibition, Montreux, Switzerland 10-15 June 1993, pp. 571-586 (June 11, 1993).
	Matthew D. Miller, "A Scenario for the Deployment of Interactive Multimedia Cable Television Systems in the United States in the 1990's," Proceedings of the IEEE, Vol. 82, No. 4, pp. 585-589 (April 1994).
	Yee-Hsiang Chang et al., "An Open-Systems Approach to Video on Demand," IEEE Communications Magazine, Vol. 32, pp. 68-80 (May 1994).
	"Electronic Programme Guide (EPG); Protocol for a TV Guide using electronic data transmission" by European Telecommunication Standards Institute, May 1997, Valbonne, France, publication no. ETS 300 707
	Patent abstract for Japanese patent JP 10 065978, PATENT ABSTRACTS OF JAPAN, vol. 1998, no. 08, June 3, 1998.
	"Windows 98 Feature Combines TV, Terminal and the Internet," New York Times, August 18, 1998
	The New York Times, "2 Makers Plan Introductions of Digital VCR", by John Markoff, March 29, 1999.
	David M. Rudnick, United States Patent Application for "Interactive Television Program Guide System Having Graphic Arrangements of Program Event Regions," filed April 1, 1999, Application No.: 09/283,681.
	Patent abstract for Japanese patent JP 11 032272, PATENT ABSTRACTS OF JAPAN, vol. 1999, no. 05, May 31 1999.
✓	Patent abstract for Japanese patent JP 11 205711, PATENT ABSTRACTS OF JAPAN, vol. 1999, no. 12, July 30, 1999.
SAC	"Digital Video Broadcasting (DVB); DVB specification for data broadcasting", European Telecommunications Standards Institute, Draft EN 301 192 V1.2.1 (1999-01).

RECEIVED

AUG 14 2002

EXAMINER

Technology Center 2600

DATE CONSIDERED

11/19/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANTS (use as many sheets as necessary)				Complete if known	
				Application Number	09/974,529
				Confirmation No.	9814
				Filing Date	October 9, 2001
				First Named Inventor	William L. Thomas et al.
				Art Unit	2171
				Examiner Name	Not yet assigned
				Attorney Docket Number	UV-208
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
SAC		6,240,555 B1	05/29/2001	Shoff et al.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ¹ - Number ² - Kind Code ³				

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶

Examiner Signature		Date Considered	11/19/05
-----------------------	--	--------------------	----------

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁶ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial